

Appln. No.: 09/632,944  
IDS filed October 7, 2004



PATENT  
Customer No. 22,852  
Attorney Docket No. 07451.0004-02  
InterTrust Ref. No. IT-6.1.1 (US)

2132

**CERTIFICATE OF EXPRESS MAILING**

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Signed: \_\_\_\_\_

Mary A. McCauley

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Karl L. GINTER et al.

Serial No.: 09/632,944

Filed: August 4, 2000

For: TRUSTED AND SECURE  
TECHNIQUES, SYSTEMS AND  
METHODS FOR ITEM DELIVERY  
AND EXECUTION

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)  
) Group Art Unit: 2132  
)  
) Examiner: DARROW, Justin T.  
)  
) Confirmation No.: 2078  
)  
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)  
)  
)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), the Office is hereby authorized to charge the required fee of \$180.00, as specified by Section 1.17(p), to our Deposit Account No. 06-0916.

10/13/2004 MBLANCO 00000001 060916 09632944

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As a result of the Microsoft litigation, summaries of reference titles and copies of some of those references were provided to Applicants (See Tab 2, Exhibit A of the Final Notice Regarding Related Litigation submitted to the Office on September 15, 2004, pertaining to InterTrust Technology Corp. v. Microsoft Corp., C 01-1640 SBA, N. D. Ca). The references listed on the attached form PTO-1449 represent all available references, not yet submitted in this case, of which Applicants are aware. The listed references are provided in electronic format via two (2) CD-ROMs attached hereto.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

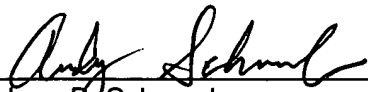
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: October 7, 2004

By:   
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OMB No. 0651-0011

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Atty. Docket No.: 07451.0004-02000

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	3,806,874	4/21/1974	Ehrat			
	3,845,391	10/29/1974	Crosby			
	3,946,200	3/23/1976	Juodikis			
	3,996,449	12/7/1976	Attanasio et al.			
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	4,104,721	8/1/1978	Markstein et al.			
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	4,321,672	3/23/1982	Braun et al.			
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	4,471,216	9/11/1984	Herve			
	4,523,271	6/11/1985	Levien			
	4,525,599	6/25/1985	Curran et al.			
	4,529,870	7/16/1985	Chaum			
	4,562,305	12/31/1985	Gaffney, Jr.			
	4,573,119	2/25/1986	Westheimer et al.			

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	4,621,321	11/4/1986	Boebert et al.			
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	4,796,220	1/3/1989	Wolfe			
	4,798,209	1/27/1989	Klingenbeck, et al.			

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	4,864,616	9/5/1989	Pond et al.			
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	4,868,736	9/19/1989	Walker			
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	4,919,545	4/24/1990	Yu			
	4,926,480	5/15/1990	Chaum			
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	4,941,175	7/10/1990	Enescu et al.			
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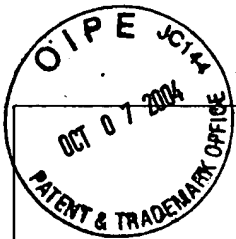
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	5,079,648	1/7/1992	Maufe			
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	5,956,408	9/21/1999	Arnold			
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	6,102,965	8/15/2000	Dye et al.			

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	6,618,484	9/3/2004	Van Wie et al.			
	6,640,304	10/3/2004	Ginter et al.			
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	WO 98/09209	3/5/1998	PCT			
	WO 98/10381	3/12/1998	PCT			
	WO 98/37481	8/27/1998	PCT			
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	WO 99/24928	5/23/1999	PCT			
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